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2874
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/672,159
		Filing Date	September 27, 2000
		First Named Inventor	Jian et al.
		Group Art Unit	2874
		Examiner Name	Connelly Cushwa, M.
Total Number of Pages in This Submission	19	Attorney Docket Number	2790.P004A

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment / Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

pto -
2038

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):

**Response and Preliminary
Amendment (14 pgs)
PTO-2038 (1 page)
Postcard**

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

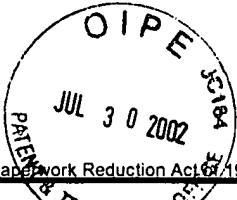
Firm or Individual name	Law Offices of James D. McFarland
Signature	
Date	July 22, 2002

CERTIFICATE OF MAILING

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Typed or printed name	James D. McFarland
Signature	
Date	July 22, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\\$) 99
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Complete if Known

Application Number	09/672,159
Filing Date	September 27, 2000
First Named Inventor	Jian et al.
Examiner Name	Connelly Cushwa, M.
Group Art Unit	2874
Attorney Docket No.	2790.P004A

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0948
Deposit Account Name	Law Offices of James D. McFarland

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEES CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	[]
106	330	206	165	Design filing fee	[]
107	510	207	255	Plant filing fee	[]
108	740	208	370	Reissue filing fee	[]
114	160	214	80	Provisional filing fee	[]

SUBTOTAL (1) (\\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
31	-20** = 11	x \$9	\$99
Independent Claims	0	-3** = 0	x \$42 = []
Multiple Dependent			[] = []

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\\$) 99

*or number previously paid, if greater; For Reissues, see above

FEES CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	[]
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	[]
139	130	139	130	Non-English specification	[]
147	2,520	147	2,520	For filing a request for ex parte reexamination	[]
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	[]
115	110	215	55	Extension for reply within first month	[]
116	400	216	200	Extension for reply within second month	[]
117	920	217	460	Extension for reply within third month	[]
118	1,440	218	720	Extension for reply within fourth month	[]
128	1,960	228	980	Extension for reply within fifth month	[]
119	320	219	160	Notice of Appeal	[]
120	320	220	160	Filing a brief in support of an appeal	[]
121	280	221	140	Request for oral hearing	[]
138	1,510	138	1,510	Petition to institute a public use proceeding	[]
140	110	240	55	Petition to revive - unavoidable	[]
141	1,280	241	640	Petition to revive - unintentional	[]
142	1,280	242	640	Utility issue fee (or reissue)	[]
143	460	243	230	Design issue fee	[]
144	620	244	310	Plant issue fee	[]
122	130	122	130	Petitions to the Commissioner	[]
123	50	123	50	Processing fee under 37 CFR 1.17(q)	[]
126	180	126	180	Submission of Information Disclosure Stmt	[]
581	40	581	40	Recording each patent assignment per property (times number of properties)	[]
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	[]
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	[]
179	740	279	370	Request for Continued Examination (RCE)	[]
169	900	169	900	Request for expedited examination of a design application	[]
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\\$)

Fee Paid

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Name (Print/Type)	James D. McFarland	Registration No. (Attorney/Agent)	32,544	Telephone	(858) 509-8687	
Signature					Date	July 22, 2002

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Atty. File No. 2790.P004A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jian, B. et al.

Group Art Unit: 2874

Serial No.: 09/672,159

Certificate of Mailing Under
37 C.F.R. §1.8

Filed: 09/27/2000

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Assistant Commissioner for Patents,
Washington, DC 20231

Examiner: Connelly Cushwa, M.

Date: July 22, 2002

Signature:

Name: James D. McFarland
Reg. No. 32,544

Assistant Commissioner for Patents
Washington, DC 20231

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RESPONSE TO RESTRICTION REQUIREMENT AND
PRELIMINARY AMENDMENT

Dear Sir:

In response to the Office Action dated June 21, 2002, Applicant elects Group I and makes the following preliminary amendment.

Response to Restriction Requirement

The Examiner has determined that Claims 1-20 are subject to restriction as follows:

Group I: Claims 1-10 and 16-18, drawn to a hybrid microlens; and

Group II: Claims 11-15, 19, and 20, drawn to an optical construction that substantially reduces return signals.

Applicant hereby elects Group I, and therefore cancels claims 11-15 and 19-20.

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